IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: 125525

Application No.: 09/802163 Art Unit: 3691 / Confirmation No.: 1129

Filed: March 8, 2001 Examiner: C.B. Graham

Title: AUTOMATED FIRST LOOK AT MARKET EVENTS

RESPONSE AFTER FINAL

Seattle, Washington 98101

September 28, 2011

TO THE COMMISSIONER FOR PATENTS:

INTRODUCTORY COMMENTS

Reconsideration and allowance of the above-identified application is requested in view of the pending claims and the following remarks.

For convenience of review, a **Listing of the Claims** is provided beginning on page 2 of this paper.

Remarks/Arguments begin on page 10 of this paper.